

**WINJAMMER FILING**

**INITIAL**

**End Date:5/20/2022**

**Firm Name:INTERACTIVE BROKERS LLC**

**Form:Daily Seg - FOCUS II**

**Submit Date:5/23/2022**

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**Daily Segregation - Cover Page**

Name of Company

**INTERACTIVE BROKERS LLC**

Contact Name

**James Menicucci**

Contact Phone Number

**203-618-8085**

Contact Email Address

**jmenicucci@interactivebrokers.com**

FCM's Customer Segregated Funds Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of customer segregated funds required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of customer segregated funds required between:% and%.

**0**  
**0**  
**155,000,000 245,000,000**  
**0 0**

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of customer secured funds required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of customer secured funds required between:% and%.

**0**  
**0**  
**80,000,000 120,000,000**  
**0 0**

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of cleared swaps customer collateral required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of cleared swaps customer collateral required between:% and%.

**0**  
**0**  
**0 0**  
**0 0**

Attach supporting documents CH

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**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

- |    |   |                                      |
|----|---|--------------------------------------|
| 1. | Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers                           | <u>0</u> [7305]                      |
|    | A. Cash   | <u>559,224,489</u> [7315]            |
|    | B. Securities (at market)   | <u>0</u> [7317]                      |
| 2. | Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade                 | <u>6,647,989</u> [7325]              |
| 3. | Exchange traded options   |                                      |
|    | a. Market value of open option contracts purchased on a foreign board of trade                            | <u>91,453</u> [7335]                 |
|    | b. Market value of open contracts granted (sold) on a foreign board of trade                              | <u>-4,868</u> [7337]                 |
| 4. | Net equity (deficit) (add lines 1. 2. and 3.)   | <u>565,959,063</u> [7345]            |
| 5. | Account liquidating to a deficit and account with a debit balances - gross amount                         | <u>23,332</u> [7351]                 |
|    | Less: amount offset by customer owned securities  | <u>0</u> [7352] <u>23,332</u> [7354] |
| 6. | Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) | <u>565,982,395</u> [7355]            |
| 7. | Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.            | <u>565,982,395</u> [7360]            |

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

- |     |   |  |
|-----|---|--|
| 1.  | Cash in banks   |  |
|     | A. Banks located in the United States   | <u>27,028,833</u> [7500]                       |
|     | B. Other banks qualified under Regulation 30.7  | <u>0</u> [7520] <u>27,028,833</u> [7530]       |
| 2.  | Securities  |  |
|     | A. In safekeeping with banks located in the United States   | <u>429,283,000</u> [7540]                      |
|     | B. In safekeeping with other banks qualified under Regulation 30.7                                      | <u>0</u> [7560] <u>429,283,000</u> [7570]      |
| 3.  | Equities with registered futures commission merchants   |  |
|     | A. Cash   | <u>0</u> [7580]                                |
|     | B. Securities   | <u>0</u> [7590]                                |
|     | C. Unrealized gain (loss) on open futures contracts   | <u>0</u> [7600]                                |
|     | D. Value of long option contracts   | <u>0</u> [7610]                                |
|     | E. Value of short option contracts  | <u>0</u> [7615] <u>0</u> [7620]                |
| 4.  | Amounts held by clearing organizations of foreign boards of trade                                       |  |
|     | A. Cash   | <u>0</u> [7640]                                |
|     | B. Securities   | <u>0</u> [7650]                                |
|     | C. Amount due to (from) clearing organization - daily variation   | <u>0</u> [7660]                                |
|     | D. Value of long option contracts   | <u>0</u> [7670]                                |
|     | E. Value of short option contracts  | <u>0</u> [7675] <u>0</u> [7680]                |
| 5.  | Amounts held by members of foreign boards of trade  |  |
|     | A. Cash   | <u>255,674,954</u> [7700]                      |
|     | B. Securities   | <u>0</u> [7710]                                |
|     | C. Unrealized gain (loss) on open futures contracts   | <u>-18,906,269</u> [7720]                      |
|     | D. Value of long option contracts   | <u>91,453</u> [7730]                           |
|     | E. Value of short option contracts  | <u>-4,868</u> [7735] <u>236,855,270</u> [7740] |
| 6.  | Amounts with other depositories designated by a foreign board of trade                                  | <u>0</u> [7760]                                |
| 7.  | Segregated funds on hand  | <u>0</u> [7765]                                |
| 8.  | Total funds in separate section 30.7 accounts   | <u>693,167,103</u> [7770]                      |
| 9.  | Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | <u>127,184,708</u> [7380]                      |
| 10. | Management Target Amount for Excess funds in separate section 30.7 accounts                             | <u>80,000,000</u> [7780]                       |
| 11. | Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target                      | <u>47,184,708</u> [7785]                       |

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**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

1.	Net ledger balance	
	A. Cash	<b><u>8,358,203,104</u></b> [7010]
	B. Securities (at market)	<b><u>0</u></b> [7020]
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	<b><u>96,136,693</u></b> [7030]
3.	Exchange traded options	
	A. Add market value of open option contracts purchased on a contract market	<b><u>1,202,418,273</u></b> [7032]
	B. Deduct market value of open option contracts granted (sold) on a contract market	<b><u>-1,010,334,620</u></b> [7033]
4.	Net equity (deficit) (add lines 1, 2 and 3)	<b><u>8,646,423,450</u></b> [7040]
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	<b><u>1,041,065</u></b> [7045]
	Less: amount offset by customer securities	<b><u>0</u></b> [7047] <b><u>1,041,065</u></b> [7050]
6.	Amount required to be segregated (add lines 4 and 5)	<b><u>8,647,464,515</u></b> [7060]
	FUNDS IN SEGREGATED ACCOUNTS	
7.	Deposited in segregated funds bank accounts	
	A. Cash	<b><u>1,102,344,181</u></b> [7070]
	B. Securities representing investments of customers' funds (at market)	<b><u>5,351,443,050</u></b> [7080]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<b><u>0</u></b> [7090]
8.	Margins on deposit with derivatives clearing organizations of contract markets	
	A. Cash	<b><u>693,362,553</u></b> [7100]
	B. Securities representing investments of customers' funds (at market)	<b><u>1,586,126,057</u></b> [7110]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<b><u>0</u></b> [7120]
9.	Net settlement from (to) derivatives clearing organizations of contract markets	<b><u>-36,964,278</u></b> [7130]
10.	Exchange traded options	
	A. Value of open long option contracts	<b><u>1,202,418,273</u></b> [7132]
	B. Value of open short option contracts	<b><u>-1,010,334,620</u></b> [7133]
11.	Net equities with other FCMs	
	A. Net liquidating equity	<b><u>0</u></b> [7140]
	B. Securities representing investments of customers' funds (at market)	<b><u>0</u></b> [7160]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<b><u>0</u></b> [7170]
12.	Segregated funds on hand	<b><u>0</u></b> [7150]
13.	Total amount in segregation (add lines 7 through 12)	<b><u>8,888,395,216</u></b> [7180]
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	<b><u>240,930,701</u></b> [7190]
15.	Management Target Amount for Excess funds in segregation	<b><u>155,000,000</u></b> [7194]
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	<b><u>85,930,701</u></b> [7198]

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**Daily Segregation - Swaps Statement**

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS  
AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements	
1.	Net ledger balance
	A. Cash <span style="float:right">0 [8500]</span>
	B. Securities (at market) <span style="float:right">0 [8510]</span>
2.	Net unrealized profit (loss) in open cleared swaps <span style="float:right">0 [8520]</span>
3.	Cleared swaps options
	A. Market value of open cleared swaps option contracts purchased <span style="float:right">0 [8530]</span>
	B. Market value of open cleared swaps option contracts granted (sold) <span style="float:right">0 [8540]</span>
4.	Net Equity (deficit) (add lines 1, 2, and 3) <span style="float:right">0 [8550]</span>
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount <span style="float:right">0 [8560]</span>
	Less: amount offset by customer owned securities <span style="float:right">0 [8570] 0 [8580]</span>
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5) <span style="float:right">0 [8590]</span>
Funds in Cleared Swaps Customer Segregated Accounts	
7.	Deposited in cleared swaps customer segregated accounts at banks
	A. Cash <span style="float:right">0 [8600]</span>
	B. Securities representing investments of cleared swaps customers' funds (at market) <span style="float:right">0 [8610]</span>
	C. Securities held for particular cleared swaps customers in lieu of cash (at market) <span style="float:right">0 [8620]</span>
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
	A. Cash <span style="float:right">0 [8630]</span>
	B. Securities representing investments of cleared swaps customers' funds (at market) <span style="float:right">0 [8640]</span>
	C. Securities held for particular cleared swaps customers in lieu of cash (at market) <span style="float:right">0 [8650]</span>
9.	Net settlement from (to) derivatives clearing organizations <span style="float:right">0 [8660]</span>
10.	Cleared swaps options
	A. Value of open cleared swaps long option contracts <span style="float:right">0 [8670]</span>
	B. Value of open cleared swaps short option contracts <span style="float:right">0 [8680]</span>
11.	Net equities with other FCMs
	A. Net liquidating equity <span style="float:right">0 [8690]</span>
	B. Securities representing investments of cleared swaps customers' funds (at market) <span style="float:right">0 [8700]</span>
	C. Securities held for particular cleared swaps customers in lieu of cash (at market) <span style="float:right">0 [8710]</span>
12.	Cleared swaps customer funds on hand
	A. Cash <span style="float:right">0</span>
	B. Securities representing investments of cleared swaps customers' funds (at market) <span style="float:right">0</span>
	C. Securities held for particular cleared swaps customers in lieu of cash (at market) <span style="float:right">0 0 [8715]</span>
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12) <span style="float:right">0 [8720]</span>
14.	Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) <span style="float:right">0 [8730]</span>
15.	Management Target Amount for Excess funds in cleared swaps segregated accounts <span style="float:right">0 [8760]</span>
16.	Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management <span style="float:right">0 [8770]</span>